

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Patent Application of:

UNDERSTEIN

Serial No. 09/617,065

Filed: July 13, 2000

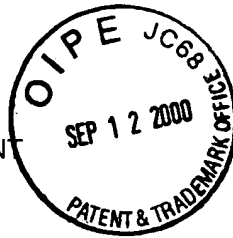
For: WEB-BASED ACCOUNT MANAGEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Application Branch

Atty. Dkt. 2802-5

Date: September 12, 2000



The attached completes filing of the above-identified patent application:

- ☒ Signed Rule 63 Declaration alone OR
☐ Signed Declaration plus attached copy of originally filed specification/drawings.
☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.
☒ Record and return the attached assignment.
☐ Priority is hereby claimed per Rule 55 & 35 USC119 based on prior foreign application(s) Nos.:

| Application Nos. | Country | Filing Date |
|------------------|---------|-------------|
|------------------|---------|-------------|

respectively.

- ☐ This application is based on the following prior provisional application(s):

| Application No. | Filing Date |
|-----------------|-------------|
|-----------------|-------------|

respectively and priority is hereby claimed therefrom.

Certified copy(ies) of foreign and/or provisional application(s): ☐ attached; ☐ already filed on _____
in U.S. Application Serial No. _____, filed on _____.

- ☒ The undersigned verifies that the above-identified application is identical to: filed _____, as amended on _____
☒ Two (2) Verified Statement attached establishing "small entity" status (Rules 9 & 27)
☒ Also attached: Exclusive Substitute Power of Attorney by Assignee with Copy of Assignment Attached

Fees are attached as calculated below:

| | | | | | |
|--|---|--------|---|---------------------------|-----------|
| Basic filing fee | | | | \$ | 690.00 |
| Total Effective claims | 8 | - 20 = | 0 | x \$ | 18.00 |
| | | | | \$ | 0.00 |
| Independent claims | 3 | - 3 = | 0 | x \$ | 78.00 |
| | | | | \$ | 0.00 |
| If any proper multiple dependent claims now added for first time, add \$260.00 (ignore improper) | | | | \$ | 0.00 |
| | | | | FILING FEE | \$ 690.00 |
| Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$380.00/2 months; \$870.00/3 months; \$1360.00/4 months) | | | | \$ | 0.00 |
| Surcharge (\$130.00) if Declaration or filing fee first now submitted | | | | \$ | 130.00 |
| | | | | FIRST SUBTOTAL | \$ 820.00 |
| If "small entity," enter half (1/2) of subtotal and subtract | | | | -\$ | 410.00 |
| | | | | SECOND SUBTOTAL | \$ 410.00 |
| Assignment Recording Fee (\$40.00) | | | | \$ | 40.00 |
| | | | | TOTAL FEE ENCLOSED | \$ 450.00 |

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

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AMK:jls

NIXON & VANDERHYTE P.C.

By Atty: Alan M. Kagen, Reg. No. 36,178

Signature: _____

Alan M. Kagen

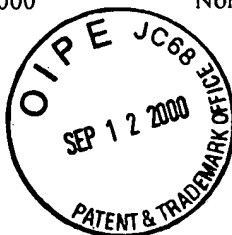


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/617,065 | 07/13/2000 | Norman Understein | 2802-5 |

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FORMALITIES LETTER



OC000000005374069

Date Mailed: 09/05/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 820.

*A copy of this notice **MUST** be returned with the reply.*

09/13/2000 JADD01 00000019 09617065

01 FC:201
02 FC:205

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE